

# A recursive MEAT-AXE algorithm

Stephen P. Glasby

Central Washington University

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# Overview

- 1 Four MEAT-AXE problems: INVAR, CENT, ISO, SUBFIELD
- 2 Non-recursive MEAT-AXE algorithm
- 3 Changing field: scaling up  $F \rightsquigarrow E$ ; scaling down  $E \rightsquigarrow F$
- 4 Recursive MEAT-AXE algorithm
- 5 Examples
- 6 Solving norm equations

# Input/Output

## Motivation:

- Braid group cryptography  $\rightsquigarrow$  representations over  $\mathbb{Q}(t, q)$
- Integral representation theory

## Input:

- $A = \langle X_1, \dots, X_k \rangle_F$  a f.g.  $F$ -subalgebra of  $F^{d \times d}$
- $F_0$  prime subfield;  $F_0 \subseteq F_0(B) \subseteq F$  where  $F_0(B) : F_0$  purely trans'l  
 $|B| < \infty$  and  $|F : F_0(B)| < \infty$  e.g.  $F = \mathbb{Q}(x_1, \dots, x_n)/(f)$

**Familiar:**  $F = \mathbb{Q}$ ,  $A$  is an epimorphic image of gp algebra  $FG$ ,  $|G| < \infty$ .

**Output:** Subspace of  $F^{1 \times d}$  invariant under  $A$ , or isomorphism, or matrix that conjugates  $A$  over a proper subfield, or ...

**Algorithms/Applications ( $|F| = \infty$ ):** Parker; Holt; Plesken, Souvignier, Schultz, Nebe, Müller; Nickerson; Steel; Cohen; Fieker; Glasby, ...

# Goals

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- Improved complexity analysis of MEAT-AXE (especially if  $|F| = \infty$ )
- Develop constructive representation theory of algebras
- Unify fields (rep thy of gps and algebras, division algebras, Galois cohomology, second cohomology, computational ANT)

# MEAT-AXE problems: INVAR, CENT, ISO, SUBFIELD

## Algorithm MEATAXEINVAR

**Input**  $A = \langle X_1, \dots, X_k \rangle_F \leq F^{d \times d}$  (f.g.  $F$ -subalgebra)

**Output** A proper non-zero invariant  $A$ -invariant subspace of  $V := F^{1 \times d}$ , or a certificate that  $V$  is irreducible.

## Algorithm MEATAXECENT

**Input**  $A = \langle X_1, \dots, X_k \rangle_F \leq F^{d \times d}$  irreducible

**Output** The centralizer  $C_{F^{d \times d}}(A) = \text{End}_A(V) \leq F^{d \times d}$

## Algorithm MEATAXEISO

**Input** An ring iso  $\langle X_1, \dots, X_k \rangle_F \rightarrow \langle X'_1, \dots, X'_k \rangle_F: X_i \mapsto X'_i$ , where  $\langle X_1, \dots, X_k \rangle_F$  is irreducible (e.g. Galois isomorphism)

**Output**  $Z \in \text{GL}(d, F)$  such that  $Z^{-1}AZ = A'$ , or “NotConjugate”

## Algorithm MEATAXESUBFIELD

**Input**  $E : F$  (finite & Galois);  $A = \langle X_1, \dots, X_k \rangle_E \leq E^{d \times d}$  (abs irred).

**Output**  $Z \in \text{GL}(d, E)$  such that  $Z^{-1}AZ \leq F^{d \times d}$ , or “NotConjugate”

# Notation

- $V = F^{1 \times d}$  right  $A$ -mod, and  $V^* = F^{d \times 1}$  left  $A$ -module
- Special case:  $A$  is simple. Then  $A \cong D^{r \times r}$ ,  $D$  division alg/ $F$ ,  $E$  max subfield of  $D$ . If  $D \neq E$ , then  $|D : E| = |E : Z(D)| = s$  is the (Schur) index. Then  $d = rs^2|Z(D) : F|$ .
- General case: Then  $\frac{A}{\text{Rad}(A)} \cong D_1^{r_1 \times r_1} \oplus \dots \oplus D_n^{r_n \times r_n}$ .

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- General case: Then  $\frac{A}{\text{Rad}(A)} \cong D_1^{r_1 \times r_1} \oplus \dots \oplus D_n^{r_n \times r_n}$ .
- $C := C_{F^{d \times d}}(A) = \text{End}_A(V)$ . If  $A$  is simple, then  $C \cong D^{\text{op}}$ .
- $c_Y(t) = \det(tI - Y)$  char poly of  $Y \in F^{d \times d}$ ,  $m_Y(t)$  min poly
- If  $Y \in C$  and  $m_Y(t)$  irred over  $F$ , then  $E = F[Y] \subseteq C$ ,  
 $E \cong F[t]/(m_Y(t))$  is an extension field of  $F$ ,  $|E : F| = \deg(m_Y(t))$ .

## Non-recursive MEAT-AXE algorithm

Non-recursive versions of MEATAXEINVAR, MEATAXECENT, MEATAXEISO, MEATAXESUBFIELD are similar.

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**Output** A proper non-zero invariant  $A$ -invariant subspace of  $V := F^{1 \times d}$ , or a certificate that  $V$  is irreducible.

1.  $X := \text{Random}(A)$
2. Search for a “good”  $0 \neq v \in V$  which shows that  $X$  is “good.”  
If no good  $v$  is found (unlikely if  $X$  is good), then go to Step 1
3. If  $0 \neq vA \neq V$ , then return  $vA$ .  
// Assume henceforth that  $vA = V$  and  $X$  is “good.”
4. Search for a “good”  $0 \neq v^* \in V^*$  (likely to find  $v^*$ )
5. If  $0 \neq Av^* \neq V^*$ , then return  $(Av^*)^\perp$ .  
// Assume henceforth that  $vA = V$  and  $Av^* = V^*$  and  $X$  is “good.”
6. Irreducible:=true; Certif:=( $v, X, v^*$ ) by Norton’s Irreducibility Criterion

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- (2)  $c_X(t)$  is divisible by an irreducible poly over  $F$  to the first power
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Use definition in [SG, CEP, J. Alg. **322**, 2009] not [SG, J. Alg. **300**, 2006]

## Finding “good” vectors

Algorithm  $\text{ISfCYCLIC}$  in [SG,CP,J. Alg **322**, 2009] takes as input a random element of  $V$  and outputs (with high probability when  $X$  is  $f$ -cyclic) a good  $v$ . The algorithm works when  $|F| = \infty$  and is Las Vegas  $O(\text{MM}(d) \log d)$ .

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The certificate  $(v, X, v^*)$  for `MEATAXEINVAR` can be used for `MEATAXECENT`, `MEATAXEISO`, `MEATAXESUB`.

**Irreducibility criteria**  $A \leq F^{d \times d}$  is irreducible if

- Norton's irreducibility criterion (relates  $V$  and  $V^*$ )
- $C_{F^{d \times d}}(A) = F$  (proves *absolute* irreducibility)
- $V^E$  abs irred and  $(V^E)^\alpha \not\cong V^E$  for each  $1 \neq \alpha \in \text{Gal}(E/F)$ .

Changing field: scaling up  $F \rightsquigarrow E$ ; scaling down  $E \rightsquigarrow F$

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### Scaling up

- (1)  $V = F^{1 \times d}$ ,  $Y \in C = C_{F^{d \times d}}(A)$ ,  $m_Y$  irreducible/ $F$ ,  
 $E = F[Y] \cong F[t]/(m_Y(t))$  field.  $E$ -action on  $V$ :  $v * f(Y) = vf(Y)$ .  
Note that  $V^E$  is an  $EA$ -module and  $\dim_E(V^E) = \frac{\dim_F(V)}{|E:F|}$ .
- (2)  $V \otimes_F E$  is an  $A \otimes_F E$ -module with  $\dim_E(V \otimes_F E) = \dim_F(V)$

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### Scaling down (inverse of scaling up)

$$(V^E)_F = V, \dim_F(V^E)_F = |E:F| \dim_E(V^E)$$

# Scaling up: increasing field and decreasing dimension

## Remarks

- (1) Scaling up via (1) is useful for recursion, but (2) is not. Disregard (2).
- (2) Scaling down is the inverse of scaling up.
- (3)  $V \rightsquigarrow V^E$  improves the complexity analysis.
- (4) Scaling up depends on choice of  $Y \in C$ , not on field  $E = F[Y]$ . It is used primarily when  $A \cong D^{r \times r}$  and  $C \cong D^{\text{op}}$ . If  $E \cong E'$ , then  $E^\alpha = E'$  for some  $\alpha \in \text{Inn}(A)$  by Skolem-Noether Theorem.
- (5) When  $A \cong D^{r \times r}$ ,  $C \cong D^{\text{op}}$ , there are many choices for the maximal subfield  $E$ , e.g.  $D = \left( \begin{smallmatrix} \mathbb{Q} & \\ & -1, -1 \end{smallmatrix} \right) =$  rational quaternions.  
 $q = q_0 + q_1i + q_2j + q_3k \in D$ . Then  $E = \mathbb{Q}(i)$  and  $E = \mathbb{Q}(\omega)$  with  $\omega = \frac{1}{2}(-1 + i + j + k)$  are non-isomorphic maximal subfields.  
Also  $A^E$  acts on  $V^E$  and  $\text{trace}(X^E) = \text{ReducedTrace}(X)$  indep of  $E$ .
- (6) If  $Y \in Z(D)$ , then inner auto  $\alpha$  in (4) acts trivially.

## Recursive MEAT-AXE algorithm

... If no “good”  $X \in A$  are found, then we suspect

$$\frac{A}{\text{Rad}(A)} \cong D_1^{r_1 \times r_1} \oplus \dots \oplus D_n^{r_n \times r_n} \quad \text{where } \forall i, \text{Index}(D_i) = s_i > 1$$

With high prob  $A$  is central simple. Thus  $n = 1$ ,  $A \cong D^{r \times r}$ ,  $Z(D) = F$ , and  $\text{Index}(D) = s$ . Thus  $V = \bigoplus_{i=1}^k U$  with  $U$  unique  $A$ -irreducible.

With high probability  $ks = \lfloor \frac{d}{\deg(m_Y)} \rfloor$  divides  $d$ .

Find by some means  $Y \in C$ . (If not abs irred, then  $\deg(m_Y) > 1$  likely.)  
If  $m_Y$  is reducible, then return  $\ker(f(Y))$  where  $f \mid m_Y$  and  $1 \neq f \neq m_Y$ .

Suppose  $m_Y$  is irreducible. Set  $E := F[Y]$ . If  $V^E$  is reducible, say  $0 \neq U^E \neq V^E$ , then  $0 \neq U \neq V$  and  $U$  is  $A$ -invariant.

If  $V^E$  is irred, compute  $S := \text{Stab}_{\text{Gal}(E/F)}(V^E)$ . If  $S = 1$ , then  $V$  is irred.

If  $(V^E)^\alpha \cong V^E$  for  $1 \neq \alpha \in S$ , and a certain norm equation can be solved, then  $V^E$  can be written over the proper fixed subfield  $E^{\langle \alpha \rangle}$  ( $S_3$ -example)

If  $\text{Gal}(E/F)$  soluble and at least one norm equation can not be solved, then  $V$  is irreducible ( $Q_8$ -example)

## $S_3$ -example

$F = \mathbb{Q}, E \cong \mathbb{Q}(\omega), \rho^E: S_3 = \langle x, y \mid x^2 = y^3 = 1, y^x = y^{-1} \rangle \rightarrow \text{GL}(2, E)$   
 $x \mapsto \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, y \mapsto \begin{pmatrix} \omega & 0 \\ 0 & \omega^{-1} \end{pmatrix}$  where  $\omega = \zeta_3$  satisfies  $\omega^2 + \omega + 1 = 0$ .

$$\rho: S_3 \rightarrow \text{GL}(4, F): x \mapsto \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}, y \mapsto \begin{pmatrix} 0 & 1 & 0 & 0 \\ -1 & -1 & 0 & 0 \\ 0 & 0 & -1 & -1 \\ 0 & 0 & 1 & 0 \end{pmatrix}.$$

$$A = \langle \rho(r), \rho(x) \rangle_F.$$

$$Y = \begin{pmatrix} 0 & 1 & 0 & 0 \\ -1 & -1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & -1 & -1 \end{pmatrix} \in C_{F^{4 \times 4}}(A) \cong E^{2 \times 2} \quad E = F[Y] \cong \mathbb{Q}(\omega)$$

$\alpha \in \text{Gal}(E/F), \alpha(Y) = Y^{-1}$ . **MEATAXESUBFIELD** with  $X := \rho^E(x)$  writes  $\langle \rho^E(x), \rho^E(y) \rangle_F$  over  $F$ . Alt: **MEATAXEISO** with  $X := \rho^E(x)$  conjugates  $(V^E)^\alpha$  to  $V^E$ . Now  $N_{E/F}(X) = XX^\alpha = I$ , and  $N_{E/F}(\mu) = 1$  has a sol'n. Thus  $V^E$  can be written over  $F$ , and  $V = U \oplus U$  splits.

## $Q_8$ -example

$$F = \mathbb{Q}, E \cong \mathbb{Q}(\sqrt{-1}), \quad \rho^E: Q_8 = \langle x, y \mid x^2 = y^2, y^x = y^{-1} \rangle \rightarrow \text{GL}(2, E)$$
$$x \mapsto \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \quad y \mapsto \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix} \text{ where } i = \sqrt{-1}.$$

$$\rho: Q_8 \rightarrow \text{GL}(4, F): x \mapsto \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ -1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{pmatrix}, y \mapsto \begin{pmatrix} 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 \\ 0 & 0 & 1 & 0 \end{pmatrix}.$$

$$A = \langle \rho(x), \rho(y) \rangle_F \leq F^{4 \times 4}, \quad A \cong \begin{pmatrix} \mathbb{Q} & \\ & -1, -1 \end{pmatrix}.$$

$$Y = \begin{pmatrix} 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & -1 & 0 \end{pmatrix} \in C_{F^{4 \times 4}}(A) \cong E^{2 \times 2} \quad E = F[Y] \cong \mathbb{Q}(i)$$

$\alpha \in \text{Gal}(E/F)$ ,  $\alpha(Y) = -Y$  and  $(V^E)^\alpha \cong V^E$ . **MEATAXEISO** with  $X = \rho^E(x)$  conjugates  $(V^E)^\alpha$  to  $V^E$ . Now  $N_{E/F}(X) = XX^\alpha = -I$  and  $N_{E/F}(\mu) = -1$  has no sol'n. Thus  $V^E$  can not be written over  $F$ , and  $V$  irred.

## Solving norm equations

In the previous  $\mathbb{Q}_8$ -example the norm map  $N_{E/F}: \mathbb{Q}(i)^\times \rightarrow \mathbb{Q}^\times$  was non-surjective. This can also happen in positive characteristic. For example:  $E = \mathbb{F}_2(x)$ ,  $F = \mathbb{F}_2(x + x^{-1})$ , and  $A = (E, \text{Gal}(E/F), x)$ .

Given  $E : F$  (finite and Galois) and  $\lambda \in F$  find (if possible)  $\mu \in E$  such that  $N_{E/F}(\mu) = \lambda$ . (Norm eqns in MAGMA can be SLOW)

WMA  $\text{Gal}(E/F)$  is simple, commonly  $\text{Gal}(E/F) \cong C_p$  where  $p$  is prime. (Can have  $E = \mathbb{Q}(x_1, \dots, x_5)$ ,  $F = E^{A_5}$ , and  $\text{Gal}(E/F) \cong A_5$ .)

Finding  $Z \in \text{GL}(d, E)$  s.t.  $Z^{-1}\langle X_1, \dots, X_k \rangle_F Z \leq F^{d \times d}$  is equivalent to splitting a 1-cocycle  $C : \text{Gal}(E/F) \rightarrow E^\times$  i.e.  $C_\alpha = Z(Z^{-1})^\alpha$ .

For each generator  $\alpha$  of  $G := \text{Gal}(E/F)$  find  $Z_\alpha$  such that  $Z_\alpha^{-1} X_i Z_\alpha = X_i^\alpha$  for each  $i$ . Then  $Z_{\alpha\beta} = \lambda(\alpha, \beta) Z_\alpha (Z_\beta)^\alpha$  where  $\lambda \in H^2(G, E^\times)$ .

Brauer-Hasse-Noether theorem says  $\lambda$  is a 2-coboundary iff  $\lambda_P \in H^2(G_P, E_P^\times)$  is a 2-coboundary  $\forall$  places  $P$  of  $E$ , c.f. [Fieker, 2009].

## Finding good $X \in A$ , or $Y \in C := C_{F^{d \times d}}(A)$

- Choose sufficiently many random elements of  $A$ , say  $X'_1, \dots, X'_m$ , such that the first rows  $e_1 X'_1, \dots, e_1 X'_m$  are linearly dependent, say  $\sum \alpha_i (e_1 X'_i) = 0$ . If  $X := \sum \alpha_i X'_i \neq 0$  has 1-dimensional 0-eigenspace, then  $X$  is good. (If  $X \neq 0$  and the 0-eigenspace has dimension  $> 1$ , then use the regular representation of  $A$  on  $XA$ .)
- Used  $X$  to split  $V$ , or find  $Y \in C$ .
- $Y \in C$  normalizes the  $F[X]$ -primary submodules of  $V$  for each  $X \in A$
- If  $m_X$  is irreducible, then  $\dim(C_{F^{d \times d}}(X)) = d^2 / \deg(m_X)$ .

I will post this talk on my home page: <http://www.cwu.edu/~glasbys/>

Thank you!