

Quantum Searching and NP

Unstructured search for a unique item in $N = 2^n$ items.

Given: oracle for $f: n\text{-bits} \rightarrow 1\text{-bit}$

Promise: there is exactly one x_0 with $f(x_0) = 1$

Problem: find x_0 (with constant probability)

Quantum oracle $U_f |x\rangle |y\rangle = |x\rangle |y \oplus f(x)\rangle$ as usual.

Classically: $O(N)$ queries necessary and sufficient —
query k times: prob (see x_0) = k/N so $k = O(N)$ for const prob.

Quantumly: can do with $O(\sqrt{N})$ queries (plus $O(\log N)$
processing overhead per query)

Also — no quantum process can do it with fewer than $O(\sqrt{N})$ queries.

So get (only) a quadratic speed-up.

Remark 1

Structured vs. unstructured search

Given linear ordering of $N = 2^n$ items (e.g. alphabetical phonebook)
can find any desired item with

Classically: $\lfloor \log N \rfloor = n$ queries necessary & sufficient

Can show

Quantumly:

$$\frac{1}{\pi}n + o(1) \leq \# \text{queries} \leq 0.53n$$

- constant factor improvement but still impossible classically!

"What kind of structure is good for quantum vs classical computing?"

Remark 2.

"Physical" vs "Mathematical" search

Search computer file / phone book vs search for constraint satisfaction etc.

Physical database $N=2^n$ items, laid out as N physical systems



e.g. lookup table of values of unique-sat $f: n\text{-bits} \rightarrow 1\text{-bit}$

- need $O(N)$ physical resources to represent it.

- need $O(N)$ elementary gates just to examine items at $O(N)$ distance ("typical" query)

– so swamps \sqrt{N} reduction in number of queries!

– pointing to good item gives x_0 in unary! (distance from end)
d then unary \rightarrow binary conversion needs $\exp(n)$ time!

Remark 2 (cont.)

Mathematical search

Have abstract space of $N=2^n$ possibilities (no a priori physical existence!) e.g. satisfiability or constraint satisfaction problems

- can easily construct representation of any candidate x as $O(n)$ -bit string (binary representation)
 - can easily test if it is good e.g. have formula/oracle for f or list of constraint formulae to check.
 - now requires only $O(n)$ effort to access any item or set up uniform superposition query etc
- so N vs \sqrt{N} query complexity is significant.

Preliminaries on projections & reflections for n -qubit states

In Grover's quantum searching algorithm all states will have real components and have nice visualisation in real Euclidean geometry.

For any n -qubit ket vector $|\alpha\rangle$ (column)

Bra vector $\langle\alpha| = |\alpha\rangle^\dagger$ is dual (row)

$P_\alpha = |\alpha\rangle\langle\alpha|$ is operator of "projection \parallel to $|\alpha\rangle$ "

$$P_\alpha|\beta\rangle = (|\alpha\rangle\langle\alpha|)|\beta\rangle = |\alpha\rangle\langle\alpha|\beta\rangle$$

Any $|\beta\rangle = x|\alpha\rangle + |\alpha^\perp\rangle$ uniquely with $|\alpha^\perp\rangle \perp |\alpha\rangle$

and then $P_\alpha|\beta\rangle = x|\alpha\rangle$ $x = \langle\alpha|\beta\rangle$.

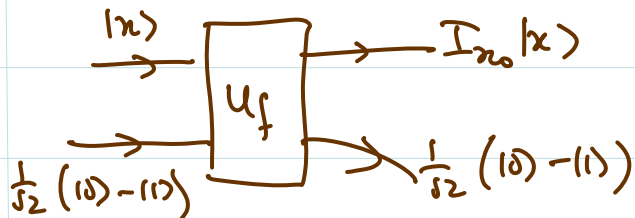
$I_\alpha = I - 2|\alpha\rangle\langle\alpha|$ is "reflection in hyperplane \perp to $|\alpha\rangle$ "

$$\begin{aligned} I_\alpha|\beta\rangle &= |\beta\rangle - 2|\alpha\rangle\langle\alpha|\beta\rangle \\ &= -x|\alpha\rangle + |\alpha^\perp\rangle \end{aligned}$$

Grover's algorithm

Instead of U_f we'll use I_{x_0} on n -qubits

$$I_{x_0} |x\rangle = \begin{cases} |x\rangle & x \neq x_0 \\ -|x\rangle & x = x_0 \end{cases}$$



$$H = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix} \quad H_n = H \otimes \dots \otimes H \text{ on } n \text{ qubits}$$

Introduce Grover iteration operator

$$Q = -H_n I_0 H_n I_{x_0}$$

$I_0 \equiv I_{|0\dots 0\rangle}$ inverts sign of $|0\dots 0\rangle$ component.

Starting state

$$|\psi_0\rangle = H_n |0\dots 0\rangle = \frac{1}{\sqrt{2}} \sum_{\text{all } x} |x\rangle$$

Iteration:

$$|\psi_{n+1}\rangle = Q|\psi_n\rangle \quad n = 1, 2, \dots$$

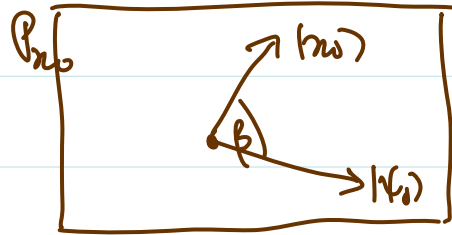
\leftarrow all real numbers.

Will prove:

Let P_{x_0} be plane spanned by (initially unknown) $|x_0\rangle$ & $|\psi_0\rangle$

(a) In P_{x_0} Q is rotation through 2α where $\sin\alpha = \frac{1}{\sqrt{N}}$

(b) In $2^n - 2$ dim orthogonal complement $P_{x_0}^\perp$, $Q = -I$



$$\langle x_0 | \psi_0 \rangle = \frac{1}{\sqrt{N}} \text{ for all } x_0.$$

$$\beta = \arccos \frac{1}{\sqrt{N}} \left(\approx \frac{\pi}{2} \text{ (large } N) \right)$$

Hence: apply Q to $|\psi_0\rangle$ $\frac{\beta}{2\alpha}$ times i.e. $\frac{\arccos \frac{1}{\sqrt{N}}}{2 \arcsin \frac{1}{\sqrt{N}}}$ times

and measure, to see x_0 with high probability.

Large N : $\beta \approx \frac{\pi}{2}$ $\alpha \approx \arcsin \frac{1}{\sqrt{N}} = \frac{1}{\sqrt{N}}$ so need $\frac{\pi}{4} \sqrt{N}$ iterations

Each use of Q needs one use of I_{x_0} & hence U_f .

plus $O(\log N)$ extra steps (H_n & I_0).

Proof that algorithm works

Fact 1: for any n -qubit U & state $|\psi\rangle$

$$U I_{|\psi\rangle} U^\dagger = U (\mathbb{I} - 2|\psi\rangle\langle\psi|) U^\dagger = I_{U|\psi\rangle}.$$

Also $H^\dagger = H$ so

$$Q = -H_n I_0 H_n I_{n_0} = -I_{H_n|0\dots 0} I_{n_0} = -I_{|\psi_0\rangle} I_{|x_0\rangle}$$

for any $|v\rangle$ in P_{n_0} :

$$|v\rangle = a|x_0\rangle + b|\psi_0\rangle$$

$I_{|\psi_0\rangle}$ (resp. I_{x_0}) adds scalar mult of $|\psi_0\rangle$ (resp. $|x_0\rangle$)

so $Q|v\rangle \in P_{n_0}$ too.

i.e. $Q: P_{n_0} \rightarrow P_{n_0}$ linear, unitary

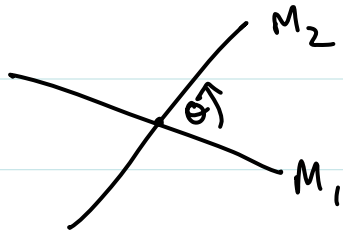
What is it?

Restricted to (real) plane $P_{\mathbb{R}^2}$

$I_{|k_0\rangle}$ is reflection in line perp. to $|k_0\rangle$

$I_{|\psi_0\rangle}$ is reflection in line perp. to $|\psi_0\rangle$

Fact 2. In real 2-dim geometry



M_1, M_2 mirror lines

Reflection in M_1 followed by M_2
= rotation thru twice angle θ between M_1 & M_2

Hence $Q = - [\text{rot}^n \text{ thru twice angle between } |k_0\rangle \text{ \& } |\psi_0\rangle]$

$\leftarrow 2\beta = 2\arccos \frac{1}{\sqrt{2}} \approx \pi$ (large!)

Fact 3. If I_v is reflection in mirror M perp. to v
 then $-I_v$ is reflection in M^\perp , perp to M i.e. \parallel to v .

[Any $|u\rangle = a|v\rangle + b|v^\perp\rangle$ for $|v\rangle, |v^\perp\rangle$ chosen orthonormal.

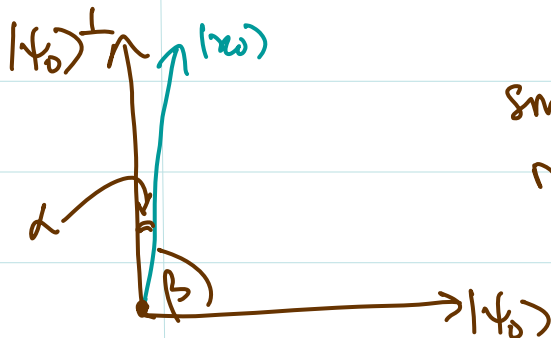
I_v reverses sign of a & I_{v^\perp} reverses sign of $b \equiv -I_v$ action.]

Hence $Q = \text{rot}^h$ thru 2α

where $\alpha =$ angle between

$|x_0\rangle$ & $|y_0\rangle^\perp$ in P_{n_0}

So $\sin\alpha = \cos\beta = \frac{1}{\sqrt{2}}$



small incremental
rotation 2α

if $|A\rangle \perp P_{n_0}$ i.e. $\langle x_0|A\rangle = \langle y_0|A\rangle = 0$

then $I_{x_0}|A\rangle = (I - 2|x_0\rangle\langle x_0|)|A\rangle = |A\rangle$

$I_{y_0}|A\rangle = \dots = A$

So $Q|A\rangle = -I_{y_0}I_{x_0}|A\rangle = -A$

i.e. $Q = -I$ in $P_{n_0}^\perp$.

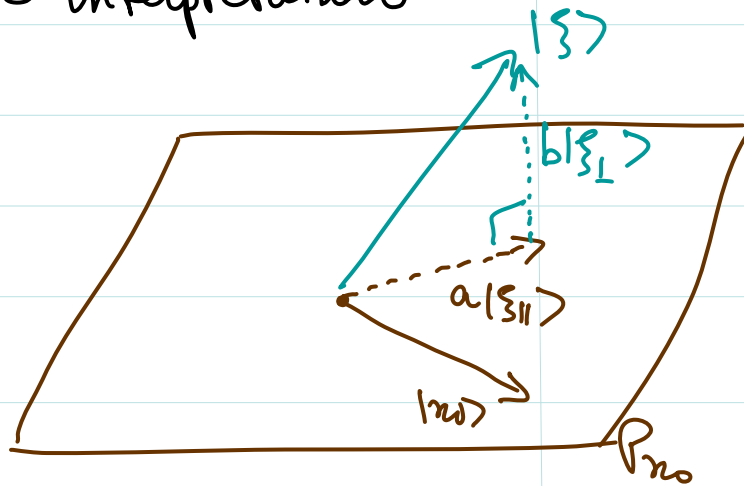
Can significantly generalise Grover's algorithm!

- start state $|\psi_0\rangle \rightarrow$ any $|\xi\rangle$.
- $I_{x_0} \rightarrow I_G$ multiple good solutions. (even if number is unknown)
- $H_n \rightarrow$ general U .

Example 1 $|\psi_0\rangle \rightarrow |\xi\rangle$

$Q = -H_n I_G H_n I_{x_0}$ as before

Geometric interpretation



$$|\xi\rangle = a|\xi_{||}\rangle + b|\xi_{\perp}\rangle$$

rotates around
to x_0

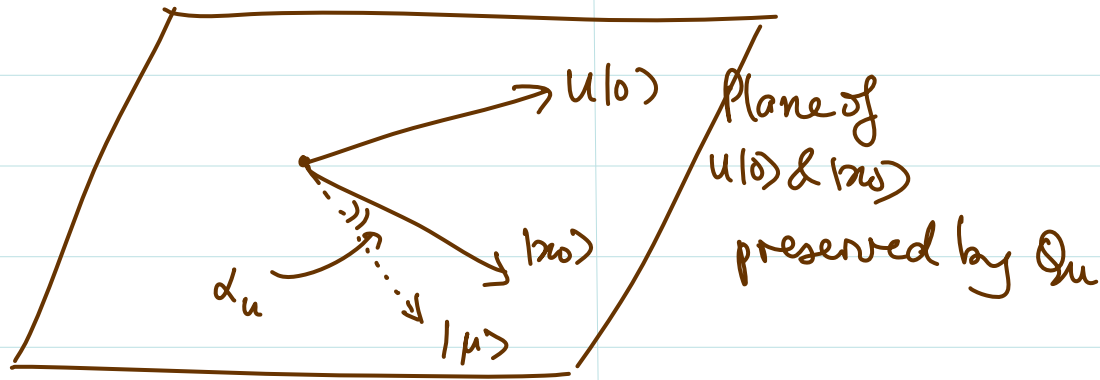
just flips
with \pm signs

Hence prob (seeing x_0 at end) = $|a|^2$

Example 2. Replace H_n by arbitrary U

$$Q_u = -U I_0 U^\dagger I_{x_0} \quad \text{starting state } |\psi_u\rangle = U|0\dots 0\rangle$$

As before, facts $\Rightarrow Q_u = -I_{|u\rangle} I_{x_0} = I_{|\mu\rangle} I_{x_0} \begin{cases} |\mu\rangle \perp |x_0\rangle \text{ in plane} \\ \text{of } U|0\dots 0\rangle \text{ \& } |x_0\rangle \end{cases}$



In Plane: $Q_u = \text{rot}^n$ thru $2\alpha_u : \sin \alpha_u = |\langle x_0 | u \rangle| = |u_{0,x_0}|$

Now # iterations to finish near $|x_0\rangle$ depends on U & x_0

If N large, U random $|\langle x_0 | u \rangle| \ll 1$ (random states almost orthogonal)

So need $K = \pi/2 / |u_{0,x_0}| = O(1/|u_{0,x_0}|)$ iterations.

If just make $U|0\dots 0\rangle$ & measure, see x_0 only with prob $|u_{0,x_0}|^2$. So $O(K^2)$ tries.

Amplitude Amplification

Had $x = x_0$ "good" Start with equal superposition $\frac{1}{\sqrt{N}} \sum_{\text{all } x} |x\rangle$
 $x \neq x_0$ "bad"

Q enhances amplitude of "good" at expense of "bad".

More generally

$|0\rangle, |1\rangle, \dots, |N-1\rangle$ set of orthonormal states

$G = \{x_1, x_2, \dots, x_k\}$ "good" labels (rest are "bad")

Assume can compute indicator function

$$f_G : \{0, 1, \dots, N-1\} \rightarrow \{0, 1\}$$

$$f_G(\text{good}) = 1 \quad f_G(\text{bad}) = 0$$

$$\mathcal{G} = \text{good subspace} \quad \mathcal{G}^\perp = \text{bad subspace}$$

As before, from I_ζ construct operator

$$I_\zeta |x\rangle = \begin{cases} -|x\rangle & x \text{ good} & K \text{ values} \\ |x\rangle & x \text{ bad} & N-K \text{ values} \end{cases}$$

Let A (for "algorithm") be any unitary operator

Consider

$$Q = -A I_0 A^\dagger I_\zeta$$

iterated on starting state

$$A|0\rangle = a|\text{good}\rangle + b|\text{bad}\rangle \quad |a|^2 + |b|^2 = 1$$

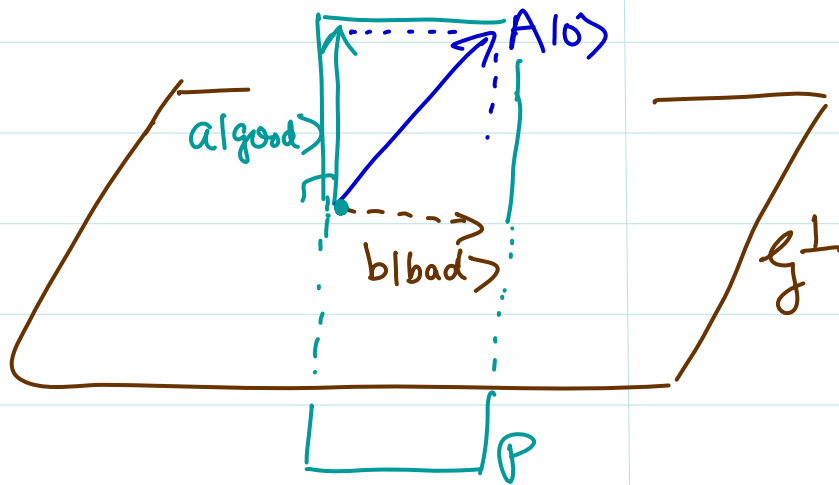
I_ζ : "reflection" in mirror subspace of dim $N-K$

inverting K orthogonal directions

— still have geometric interp. of above process.

AA theorem: Let P be plane spanned by $A|0\rangle$ and its good projection $|good\rangle$.

Then in P , action of Q is rotation in P by angle 2α
 where $\sin\alpha = |a| = \text{length of initial good component}$.



but in P^\perp
 Q is not just $-I$ now
 (as it was before)

As before $Q = -I_{A|0\rangle} I_g$

Now I_g inverts good components of any state

But in P there is only one good direction $|good\rangle$

i.e. $g \cap P$
 = line of $|good\rangle$

So in \mathcal{P} $I_{\zeta} = I_{|good\rangle}$

$$Q = -I_{A|0\rangle} I_{|good\rangle}$$

and theorem follows exactly as before.

Thus after $O\left(\frac{1}{|a|}\right)$ iterations of Q $A|0\rangle$ will be rotated to be (very near to) its good projection $|good\rangle$ and can measure a good label with (almost) certainty

↑
So also, final prob dist of good labels same as in initial state for these labels.

Interpretation

A = Quantum algorithm (sequence of quantum gates)
starting on standard state $|0\rangle$

G = set of desired computational outcomes

Indicator function: check if answer x is good or not.

eg. A = factoring algorithm on input N
 G = set of factors of N

Generally algorithm does not work with certainty

$|a|^2$ = prob of being successful
in one run

← for quantum algorithms
often known a priori

Thus $O\left(\frac{1}{|a|^2}\right)$ repetitions needed to be successful
with const. probability.

But

Run algorithm once to get $A|0\rangle$ & don't measure it!

* Apply Q $O\left(\frac{1}{|a|}\right)$ times \Rightarrow get good result with high prob.

\nwarrow actually $\frac{\arccos|a|}{2\arcsin|a|}$ times

Each iteration of Q needs A once, A^{-1} once.

A^{-1} : inverse gates of A in reverse order
(similar computational demands)

i.e. time required to get good answer with high prob.
is reduced by square root factor for general quantum algorithms.

Also: preparation of exotic states...

Corollary

Satisfiability with unknown number of solutions.

Boolean $f: n\text{-bits} \rightarrow 1\text{-bit}$

r (unknown) x 's x_1, x_2, \dots, x_r with $f(x) = 1$

$$I_f: \quad I_f(x) = \begin{cases} |x\rangle & \text{if } f(x) = 0 \text{ i.e. } x \neq x_1, \dots, x_r \\ -|x\rangle & \text{if } f(x) = 1 \text{ i.e. } x = x_1, \dots, x_r \end{cases}$$

$$\text{Use } Q_f = -H_n I_0 H_n I_f = -I_{N/2} I_f$$

$$\text{start state } |\psi_0\rangle = \frac{1}{\sqrt{N}} \sum_{\text{all } x} |x\rangle$$

$$\text{Write } |\psi_f\rangle = \frac{1}{\sqrt{r}} \sum_{i=1}^r |x_i\rangle$$

good projection of $|\psi_0\rangle$
re-normalised.

We'll suppose $r \ll N$

eg. if $r = o(N)$ can decide SAT early with high prob
by just random $f(x)$ tries.

AA theorem gives:

Let P_G be plane of $|\psi_0\rangle$ & $|\psi_G\rangle$. Then Q_G preserves this plane and its action is rotation thru 2α for

$$\sin \alpha = \langle \psi_0 | \psi_G \rangle = \sqrt{\frac{r}{N}} \quad \text{so } \alpha \approx \sqrt{\frac{r}{N}}$$

So need $\frac{\arccos \sqrt{\frac{r}{N}}}{2 \arcsin \sqrt{\frac{r}{N}}} \approx \frac{\pi}{4} \sqrt{\frac{N}{r}}$ iterations

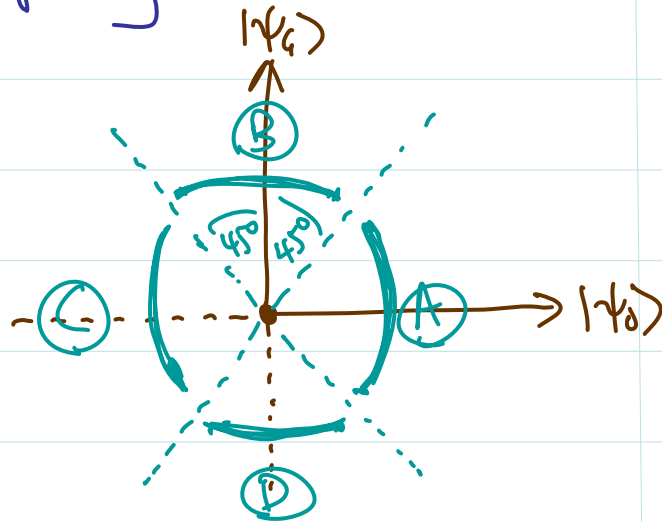
But r unknown, so when do we stop iterating?

Solution! — Choose $0 < K < \frac{\pi}{4} \sqrt{N}$ uniformly @ random

and do K iterations. Each iteration is small angle $2\alpha \approx 2\sqrt{\frac{\pi}{N}}$

So $0 < \underline{\text{total angle}} < \sqrt{\pi} \frac{\pi}{2} = \sqrt{\pi}$ quadrants.

is uniformly random in this range (in small discrete steps of 2α)



$|\psi_0\rangle$ almost \perp to $|\psi_c\rangle$

look @ "45°-rotated"
quadrants

Ⓐ Ⓑ Ⓒ Ⓓ

Final state is in quadrants Ⓑ & Ⓓ with prob $\frac{1}{2}$

Then have $|\langle \psi_c | \psi_{\text{final}} \rangle|^2 \geq \cos^2 45^\circ = \frac{1}{2}$

So see a good solution overall with prob at least $\frac{1}{2} \times \frac{1}{2} = \frac{1}{4}$.

So repeat $M = \text{const}$ times and will see a satisfying x with prob $(1 - \frac{1}{4^M}) \geq 1 - \text{"any } \epsilon \text{"}$ if one exists.

