

Computation of centralizers of involutions

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Important in **theory** (e.g. classification of finite simple groups) and in **computations**

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Matrix recognition project

Given $G = \langle X \rangle$, find $|G|$, composition series, set up data structure for constructive membership

Structural divide-and-conquer: find homomorphisms, divide task into smaller subproblems on image and kernel
Reduction bottoms out at simple groups

Leads to **theoretical problems** about simple groups, **not addressed by traditional group theory**

Some problems leading to centralizers of involutions computations

Given a Lie-type simple group $G = \langle X \rangle$ and its characteristic, determine the isomorphism type of G

Random sampling in G , primitive prime divisor properties of sample element orders distinguish different types (Babai, Kantor, Pálffy, Seress) **except** $\text{PSp}(2n, q)$ and $\text{P}\Omega(2n + 1, q)$ in odd characteristic

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Given a simple group G of Lie type, its characteristic can be computed, utilizing some centralizer of involution computations (Liebeck, O'Brien)

Construction of Curtis-Tits system (Yalcinkaya)

Given G with $G/O_p(G)$ simple Lie-type of characteristic $p > 2$,
find generators for $O_p(G)$ (Yalcinkaya, Parker, Wilson)

Construction of **Curtis-Tits system** (Yalcinkaya)

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Constructive recognition of classical groups of odd characteristic,
in **natural representation**

Leedham-Green, O'Brien: find $x \in G$, $x^2 = 1$, eigenspaces of x
roughly the same dimension

$C_G(x)$ is a small extension of the direct product of two smaller
groups of the same type as G (acting on the (± 1) -eigenspaces of
 x)

Construction of centralizer of involutions: Bray's trick

$x \in G$, $x^2 = 1$, define $\zeta : G \rightarrow C_G(x)$

Take random conjugate x^g in G , $D = \langle x, x^g \rangle \cong D_{2m}$

$y = x \cdot x^g$ generates a cyclic subgroup $\cong C_m$

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Case 1 g even type: m even

$y^{m/2} \in Z(D)$, so $y^{m/2}$ centralizes $x \in D$, $\zeta(g) := y^{m/2} \in C_G(x)$

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Case 2 g odd type: m odd

$$x^y \frac{m+1}{2} = (xx^g)^{\frac{m-1}{2}} x (xx^g)^{\frac{m+1}{2}} = \\ xg^{-1}xg \cdots xg^{-1}xg \cdot x \cdot xg^{-1}xg \cdots xg^{-1}xg = g^{-1}xg = x^g$$

$\zeta(g) := y^{\frac{m+1}{2}} g^{-1} \in C_G(x)$

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$\zeta(g) := y^{\frac{m+1}{2}} g^{-1} \in C_G(x)$

For $c \in C_G(x)$, $x^{cg} = x^g$, so g and cg have the same type

If g is odd type: $y^{\frac{m+1}{2}} (cg)^{-1} = y^{\frac{m+1}{2}} g^{-1} c^{-1}$

As cg runs through the coset $C_G(x) \cdot g$, $y^{\frac{m+1}{2}} g^{-1} c^{-1}$ runs through $C_G(x)$

Odd-type method gives uniformly distributed elements in $C_G(x)$

Theorem (C. Parker, R. Wilson)

G is simple group of Lie type of rank n , $x \in G$, $x^2 = 1$
Random $g \in G$ is of odd type with probability $\Omega(1/n^c)$,
 $c \in \{1, 2, 3\}$

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Enough for polynomial-time construction of centralizers

Most $g \in G$ are of even type

Can we use them to generate $C_G(x)$?

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Can we use them to generate $C_G(x)$?

For $c \in C_G(x)$, $xx^{gc} = xc^{-1}g^{-1}x^{-1}gc = (xx^g)^c$, so g and gc have the same type

If g is even type: $(xx^g)^{m/2}$ and $(xx^{gc})^{m/2}$ are conjugate by c

As gc runs through the coset $g \cdot C_G(x)$, $(xx^{gc})^{m/2}$ runs through the conjugacy class of $(xx^g)^{m/2}$ in $C_G(x)$

Even-type method gives uniformly distributed elements in a conjugacy class of involutions in $C_G(x)$

Side remark about left and right cosets of $C = C_G(x)$

$$x \in G, x^2 = 1, C = C_G(x)$$

$$G = Cg_1C \cup \dots \cup Cg_kC$$

double coset decomposition

For each double coset CgC , either all elements of CgC are even type, or all of them are odd type

If CgC is of odd type then $\zeta(CgC)$ covers C evenly

If CgC is of even type then $\zeta(CgC)$ covers a conjugacy class of involutions in C evenly

$G = G(n, q)$ classical quasisimple of dimension n , defined over $\text{GF}(q)$, q odd, acting on natural module V
 $x \in G$, $x^2 = 1$, $V = E_1(x) \oplus E_{-1}(x)$

$E_{\pm 1}(x)$: ± 1 -eigenspaces of x

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$E_1(x), E_{-1}(x)$ perpendicular, nondegenerate subspaces

$C_G(x)$ is direct product of same type classicals on $E_1(x), E_{-1}(x)$
(plus small extensions)

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x **strong involution**: $n/3 \leq \dim(E_{-1}(x)) \leq 2n/3$

x **(α, β) -balanced**: $\alpha n \leq \dim(E_{-1}(x)) \leq \beta n$

$0 < \alpha < 1/2 < \beta < 1$

The grand plan

(joint work with Cheryl Praeger)

Given a strong involution $x \in G(n, q)$, q odd

- (1) For **many** $g \in G$ of even type, $\zeta(g) = (xx^g)^{m/2}$ projects as (α, β) -balanced involution both on $E_1(x)$ and $E_{-1}(x)$
- (2) Only **few** (α, β) -balanced involutions, that are uniformly distributed in their conjugacy class, are needed to generate a classical quasisimple group

Given a sequence $(\mathcal{C}_1, \dots, \mathcal{C}_k)$ of conjugacy classes of (α, β) -balanced involutions in $G(n, q)$,
 (g_1, \dots, g_k) is a class-random sequence
if g_i is a uniformly distributed random element of \mathcal{C}_i , for $1 \leq i \leq k$.

Theorem (Praeger, Seress)

There exists a function $c(\alpha, \beta)$, defined for $0 < \alpha < 1/2 < \beta < 1$:
If (g_1, \dots, g_k) is a class-random sequence for some sequence of conjugacy classes of (α, β) -balanced involutions, $k \geq c(\alpha, \beta)$, then $\langle g_1, \dots, g_k \rangle = G(n, q)$ with probability at least $1 - q^{-n}$.

If $\langle g_1, \dots, g_k \rangle \neq G(n, q)$ then $g_1, \dots, g_k \in M$ for some maximal $M < G$

$$\text{Prob}(g_1, \dots, g_k \in M) \leq \prod_{i=1}^k \frac{|M \cap \mathcal{C}_i|}{|\mathcal{C}_i|}$$

enough: for each fixed sequence $(\mathcal{C}_1, \dots, \mathcal{C}_k)$,

$$\sum_M \prod_{i=1}^k \frac{|M \cap \mathcal{C}_i|}{|\mathcal{C}_i|} < q^{-n}$$

For each conjugacy class \mathcal{C} of balanced involutions, and each maximal subgroup $M < G(n, q)$, estimate $\frac{|M \cap \mathcal{C}|}{|\mathcal{C}|}$

fixed-point ratio estimates

For balanced involution classes \mathcal{C} , $|\mathcal{C}| = q^{\Theta(n^2)}$

Maximal subgroups in Aschbacher classes $\mathbf{C}_1, \dots, \mathbf{C}_9$

Theorem (Burness)

If $M \in \mathbf{C}_i$ for some $2 \leq i \leq 8$ then

$$\frac{|M \cap \mathcal{C}|}{|\mathcal{C}|} < |\mathcal{C}|^{-\frac{1}{2} + O(1/n)} \quad (*)$$

For $M \in \mathbf{C}_9$, $|M|$ is small, $\frac{|M \cap \mathcal{C}|}{|\mathcal{C}|} < \frac{|M|}{|\mathcal{C}|}$ suffices

Estimates for number of M in each class \mathbf{C}_i are known, implying

$$\sum_{M \in \mathbf{C}_i, 2 \leq i \leq 9} < q^{-cn^2}$$

Nasty case: $M \in \mathbf{C}_1$

The estimate (*) does not hold!

M fixes an ℓ -dimensional subspace L

$x \in \mathcal{C}$, $\dim(E_{-1}(x)) = r$, $\dim(E_{-1}(x) \cap L) = s$

$$|M \cap \mathcal{C}| = \sum_s f(n, \ell, r, s, q) = q^{\mathfrak{g}(n, \ell, r, q)}$$

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For fixed $q, \ell, \frac{r}{n}$, and $n \rightarrow \infty$: $g(n, \ell, r, q) \sim c_1 n^2$

$0 < c_1 = c_1(q, \ell, \frac{r}{n})$, explicitly computed

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$|\mathcal{C}| = q^{h(n, r, q)}$, $h(n, r, q) \sim c_2 n^2$

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$$\frac{|M \cap \mathcal{C}|}{|\mathcal{C}|} = q^{o(n^2)}$$

$g(n, \ell, r, q) = c_1 n^2 + \text{Error}_1$

$|\mathcal{C}| = c_2 n^2 + \text{Error}_2$ need to estimate error terms

Task (1), slightly revisited

$$x \in G(n, q), x^2 = 1, C = C_G(x), \mathcal{C} = x^G$$

- (1) For **many** $x' \in \mathcal{C}$, $y = xx'$ has even order m , and $y^{m/2}$ projects as (α, β) -balanced involution both on $E_1(x)$ and $E_{-1}(x)$

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 $G = \text{GL}(V) \cong \text{GL}(n, q)$

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Introduce restrictions on x' so that still there are many x' satisfying the restrictions but $y = xx'$ becomes more manageable

$y \in \text{GL}(n, q)$ **regular semisimple**: characteristic polynomial $c_y(t)$ has no repeated factors and y is semisimple

$$\mathcal{C}(V) = \{x \in G \mid x^2 = 1, \dim(E_{-1}(x)) = n/2\}$$

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First subtask: understand $x, x' \in \mathcal{C}(V)$, $y = xx'$ regular semisimple

$$y^x = x \cdot xx' \cdot x = x'x = y^{-1},$$

y is self-conjugate

bijection between pairs $(y, x) \mapsto (x, x')$:

- (i) y self-conjugate, regular semisimple, $x \in \mathcal{C}(V)$ inverts y
- (ii) $x, x' \in \mathcal{C}(V)$, $y = xx'$ regular semisimple

For any monic polynomial

$h(t) = t^d + a_{d-1}t^{d-1} + \dots + a_1t + a_0$ with $a_0 \neq 0$,

the **conjugate polynomial** is

$$h^*(t) = (a_0t^d + a_1t^{d-1} + \dots + a_{d-1}t + 1)a_0^{-1}$$

Fact: For $g \in \text{GL}(n, q)$, $c_{g^{-1}}(t) = c_g^*(t)$

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Fact: For $g \in \text{GL}(n, q)$, $c_{g^{-1}}(t) = c_g^*(t)$

In particular, $c_{y^{-1}}(t) = c_y^*(t)$

$c_y(t) = \prod_i h_i(t)$, no repeated factors

For simplicity, assume $t - 1$, $t + 1$ not factors of $c_y(t)$

$$c_{y^{-1}}(t) = c_y^*(t) = \prod_i h_i^*(t) = c_y(t) = \prod_i h_i(t)$$

$$c_y(t) = \prod_{i=1}^r f_i(t) \prod_{j=1}^s g_j(t)g_j^*(t)$$

$f_i^*(t) = f_i(t)$, $\deg f_i$ even

$$V = \bigoplus_{i=1}^r V_i \oplus \bigoplus_{j=1}^s W_j$$

$$y_i = y |_{V_i}, y'_j = y |_{W_j}$$

$$c_{y_i}(t) = f_i(t), c_{y'_j}(t) = g_j(t)g_j^*(t)$$

$C_{GL(V_i)} y_i \cong C_{q^{\deg f_i - 1}}$ (Singer cycle)

number of inverting involutions is $q^{\deg f_i/2} + 1$, all of them in $\mathcal{C}(V_i)$

$C_{GL(W_j)} y'_j \cong C_{q^{\deg g_j - 1}} \times C_{q^{\deg g_j - 1}}$ (product of two Singer cycles)

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Putting together, using also that in $\text{GL}(V)$, y, z semisimple are conjugate if and only if $c_y(t) = c_z(t)$:

$\#(y, x)$, y with fixed characteristic polynomial $\prod f_i \prod g_j g_j^*$,
 $x \in \mathcal{C}(V)$ inverting involution is

$$\frac{|\text{GL}(n, q)|}{\prod_i \left(q^{\frac{1}{2} \deg f_i} - 1 \right) \prod_j \left(q^{\deg g_j} - 1 \right)}$$

Introduce **generating function**

$$r(q; 2d) := \frac{\#(y, x) \text{ in } 2d \text{ dimensions}}{|\mathrm{GL}(2d, q)|}$$

$$R(u) := \sum_{d \geq 1} r(q; 2d) u^d =$$

$$\prod_{f=f^*} \left(1 + \frac{u^{\frac{1}{2} \deg f}}{q^{\frac{1}{2} \deg f} - 1} \right) \prod_{g, g^*} \left(1 + \frac{u^{\deg g}}{q^{\deg g} - 1} \right)$$

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$$N^*(q; \ell) := |\{f \mid f = f^*, \deg f = \ell\}|$$

$$M^*(q; \ell) := |\{\{g, g^*\} \mid g \neq g^*, \deg g = \ell\}|$$

$$R(u) = \prod_{\ell \geq 1} \left(1 + \frac{u^\ell}{q^\ell - 1} \right)^{N^*(q; 2\ell)} \prod_{\ell \geq 1} \left(1 + \frac{u^\ell}{q^\ell - 1} \right)^{M^*(q; \ell)}$$

Using some magic of Fulman, Neumann, Praeger

Theorem (Praeger, Seress)

$$\lim_{d \rightarrow \infty} r(q; 2d) = \left(1 - \frac{1}{q}\right)^2$$
$$\lim_{d \rightarrow \infty} s(q; 2d) = \left(1 - \frac{1}{q}\right)^2 \left(\prod_i (1 - q^{-i})\right)^3$$

$x \in \mathcal{C}(V_{2d})$, $s(q; 2d) := \text{Prob}(xx' \text{ regular semisimple})$

Beautiful solution, but not the original problem

$x \in \mathcal{C}(V)$, $y = xx'$, y regular semisimple
need to control the 2-part of $|y|$

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$$c_y(t) = \prod_{i=1}^r f_i(t) \prod_{j=1}^s g_j(t)g_j^*(t), \quad V = \bigoplus_{i=1}^r V_i \oplus \bigoplus_{j=1}^s W_j$$

$f_i^*(t) = f_i(t)$, $\deg f_i$ even

$y_i = y|_{V_i}$, $y'_j = y|_{W_j}$

$c_{y_i}(t) = f_i(t)$, $c_{y'_j}(t) = g_j(t)g_j^*(t)$

$m = |y| = \text{lcm}\{|y_i|, |y'_j|\}$

Subspaces V_i, W_j with $|y_i|_2 < |y|_2, |y'_j|_2 < |y|_2$ are **stabilized**
pointwise by $y^{m/2}$

Fix $b \in \mathbb{Z}^+$, define $\mathbb{Z}^{<b} = \{\ell \mid 2^b \text{ does not divide } q^\ell - 1\}$

Consider only y satisfying the conditions:

For exactly one W_j , $\dim(W_j) = 2\ell$, $\ell \notin \mathbb{Z}^{<b}$

All other $\dim(V_i)/2, \dim(W_{j'})/2 \in \mathbb{Z}^{<b}$

$(q^\ell - 1)_2$ divides $|y_j|$

Then $E_{-1}(y^{m/2}) = W_j$

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Key lemma

b can be chosen so that for $n/6 \leq \ell \leq n/3$,

$$\#(y, x) > \frac{|\mathrm{GL}(n, q)|}{48\ell}$$

Note that for such y , $y^{m/2}$ acts as strong involution on $E_{-1}(x)$ and on $E_1(x)$

Proof of Key lemma

Number of choices for $W_j, y'_j, \{g_j, g_j^*\}$ is easy

Hard part: other factors of $c_y(t)$ must have degrees from the set $\mathbb{Z}^{<b}$

Reduces to estimate coefficients in the power series form of

$$R(u)^{<b} = \prod_{\ell \in \mathbb{Z}^{<b}} \left(1 + \frac{u^\ell}{q^\ell - 1}\right)^{N^*(q; 2\ell)} \prod_{\ell \in \mathbb{Z}^{<b}} \left(1 + \frac{u^\ell}{q^\ell - 1}\right)^{M^*(q; \ell)}$$

Fulman, Neumann, Praeger method is a good start, but **no miracles**

Difficult estimates of contour integrals on \mathbb{C}

Final step: x is a strong involution

$y = xx'$ has nontrivial fixed point space, but we may require no repeated factors of degree > 1 in $c_y(t)$
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Theorem (Praeger, Seress)

There exist explicitly computable constants n_0, c so that if $n > n_0$, $x \in \text{GL}(n, q)$ is a strong involution, and $g \in \text{GL}(n, q)$ is random then g is of even type and $\zeta(g)$ is a $(\frac{1}{6}, \frac{2}{3})$ -balanced involution on each $E_{\pm 1}(x)$ with probability at least $c/\log n$.